

BEST AVAILABLE COPY

This will hereby acknowledge receipt of the following documents by the Assist. Comm. of Patents and Trademarks:

Transmittal Letter, Supplemental Information Disclosure Statement, Form PTO-1449 and cited references

with respect to the following:

Applicant: Kevin W. Smith

Serial No.: 09/854,812

Filing Date: May 14, 2001

For: POLYPECTOMY SNARE INSTRUMENT

Docket No.: 1001.1451103

DMC/klb

04/22/02

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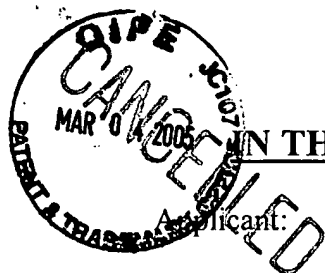
For: POLYPECTOMY SNARE INSTRUMENT

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kevin W. Smith

Serial No.: 09/854,812

Examiner: Unknown

Filed: May 14, 2001

Group Art Unit: Unknown

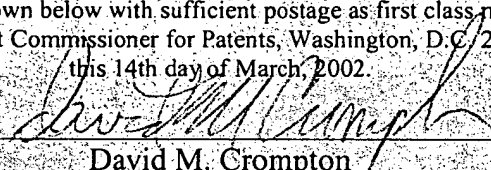
For: POLYPECTOMY SNARE INSTRUMENT

Docket No.: 1001.1451103

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14th day of March, 2002.</p> <p>By  David M. Crompton</p>
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We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

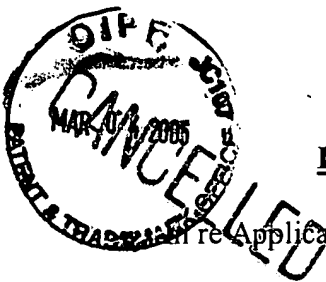
- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

David M. Crompton

Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:

Kevin W. Smith

Serial No.: 09/854,812

Examiner: Unknown

Filed: May 14, 2001

Group Art Unit: Unknown

For: POLYPECTOMY SNARE INSTRUMENT

Docket No.: 1001.1451103

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14th day of March, 2002.</p> <p>By <u>David M. Crompton</u> David M. Crompton</p>
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Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Kevin W. Smith

By his attorney,

David M. Crompton

David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Dated: 3/14/02



FORM PTO-1449

Atty. Docket No.:  
1001.1451103

Serial No.:  
09/854,812

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: Kevin W. Smith

Filing Date

May 14, 2001

Group Art:

Unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No
AA	DE 28 29 159 A1	01/17/1980	Germany	Yes
AB	DE 199 53 359 A1	05/11/2000	Germany	Yes
AC	WO 01/10321	02/15/2001	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.